

jc688 U.S. PTO
12/30/99

Please type a plus sign (+) inside this box [+] 01-03-00 A

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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12/30/99
09/47526

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P8228

Total Pages 3

First Named Inventor or Application Identifier Andrew W. Martwick

Express Mail Label No. EL 431 887 405 US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages 14)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. Drawings(s) (35 USC 113) (Total Sheets 3)
4. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (Note Box 5 below)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. _____ Computer Readable Copy
- b. _____ Paper Copy (identical to computer copy)
- c. _____ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. _____ Assignment Papers (cover sheet & documents(s))
 - a. 37 CFR 3.73(b) Statement (where there is an assignee)
 - b. Power of Attorney
10. _____ English Translation Document (if applicable)
11. _____
 - a. Information Disclosure Statement (IDS)/PTO-1449
 - _____ b. Copies of IDS Citations
12. _____ Preliminary Amendment
13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. _____
 - a. Small Entity Statement(s)
 - b. Statement filed in prior application, Status still proper and desired
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: Certificate of Express Mail with copy of postcard showing contents of Express Mail package.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
of prior application No: _____

18. Correspondence Address

Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME John P. Ward Reg No.: 40,216

BLAKELY, SOKOLOFF, TAYLOR & ZAPMAN LLP

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Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

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EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL43188740545
Date of Deposit: December 30, 1999

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)

Lisa Kaiser
(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: 5,571,441 Filing/Issue Date: 8/28/96

Client: Intel Corporation
Title: Integrated System Management Memory For System Management
Interrupt Handler And Components Of Processor And Operating System.

Int'l. Class.: 7 Attn/Sccty/Initials: JPW/CEW/lvk

BSTZ File No.: 04210000 Docket Due Date: 12/30/99

Date Mailed: December 30, 1999 Patent & Trademark Office on the date stamped hereon:

The following has been received:

Amendment/Response (5 pg.) March (s) Extension of Time Check No. 32541
 Appeal Brief (5 pg.) (intrafiling) Minimum Disclosure Statement PTO 400 (5 pg.) Amt: \$ 690.00
 Application - Utility (14 pg.) with cover sheet Time Fee Transmittal Check No.
 Application - Rule 1.53(b) Continuation (5 pg.) Notice of Appeal Amt: _____
 Application - Rule 1.53(b) Divisional (5 pg.) Petition for Extension of Time
 Application - Rule 1.53(b) CIP (5 pg.) Assignment
 Application - Rule 1.53(d) CPA Transmittal (5 pg.) Power of Attorney (5 pg.)
 Application - Design (5 pg.) Preliminary Amendment (5 pg.)
 Application - PCT (5 pg.) Priority Claim (5 pg.)
 Application - Provisional (5 pg.) Response to Notice of Missing Part
 Assignment and Cover Sheet Small Entity Declaration for Inventor/Small Business
 Certificate of Mailing Transmission Letter (5 pg.)
 Declaration & POA (5 pg.) (unsigned) Fee Transmittal in duplicate
 Disclosure Docs & Fig & Copy of Invention Signed Letter (5 pg.)
 Drawings: 3 of sheet includes 3 figures
 Other: Certificate of Express Mail with copy of postcard showing contents of Express Mail package.

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FEE TRANSMITTAL FOR FY 2000TOTAL AMOUNT OF PAYMENT (\$) 690.00

Complete if Known:

Application No. Not Yet Assigned
 Filing Date Not Yet Assigned
 First Named Inventor Andrew W. Martwick
 Group Art Unit Not Yet Assigned
 Examiner Name Not Yet Assigned
 Attorney Docket No. 042390.P8228

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity			
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	<u>Fee Description</u>
101	690	201	345	Utility application filing fee
106	310	206	155	Design application filing fee
107	480	207	240	Plant filing fee
108	690	208	345	Reissue filing fee
114	150	214	75	Provisional application filing fee
				SUBTOTAL (1) \$ <u>690.00</u>

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>20</u>	$- 20^{**} = 0$	X <u>18</u>	= <u>0</u>
Independent Claims <u>3</u>	$- 3^{**} = 0$	X <u>78</u>	= <u>0</u>
Multiple Dependent		<u>260</u>	= <u>0</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity		
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
103	18	203	9
102	78	202	39
104	260	204	130
109	78	209	39
110	18	210	9
<u>Fee Description</u>			
Claims in excess of 20			
Independent claims in excess of 3			
Multiple dependent claim, if not paid			
**Reissue independent claims over original patent			
**Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity			
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	
Other fee (specify)					
Other fee (specify)					

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: John Patrick Ward
 Signature J. P. Ward Date 12/30/99
 Reg. Number 40/216 Deposit Account User ID 02-2666
 (complete if applicable)